



ACCOUNT OPENING FORM

We are thankful for your keen consideration and interest in having a business relationship with Salor Gold trading LLC. It gives us pleasure to welcome you as new client to our company which strives to provide professional service to companies who are active in the trading of gold precious metal in the United Arab Emirates.

As dealers of precious metals, we are subjected to specific AML/CFT obligations under the AML/CFT legislative and regulatory framework of the United Arab Emirates. As Customers Due Diligence (CDD) obligation we would like to gather certain information about you and your business to meet the compliance requirement

The section below will guide you on the information and document to be provided to complete the On-Boarding process smoothly and within the frame of time.

Should you have any queries please feel free to contact us at Salor Gold Trading LLC, Office Number 108, Building Hind 1/A, Deira Waterfront Properties, Gold Souk, Dubai – U.A.E. [Tel:+97142202363](tel:+97142202363) & E-mail Address: Info@salorgroup.com.

DOCUMENTS REQUIRED

Kindly provide the original scanned copy of the documents requested for initial review by the compliance department with this account opening form. Further communication would be provided as to when to submit the original documents.

- Trade License/Certificate of Incorporation
- Memorandum of Association along with any amended copies
- Valid passport copies of Beneficial Owners/Shareholders/
- TAX Registration Card or Certificate or document reflecting the TAX Registration Number
- Document reflecting the Address proof of the company (not more than 6 months old)
- Export License (If Applicable)
- Supplier Document reflecting the source of Gold (If it is from Mine then additionally, we require the below) **For Mine Originated Bars**
 - Valid Mining License
 - Memorandum of Association (MOA)
 - Valid Passport copies of all beneficiaries (especially the miner(s) mention on mining license)

***** Kindly note that the above is a list of basic minimum criteria; our compliance department may ask for additional supporting documents during the account opening process if it is deemed essential.**

COMPANY PROFILE

Name: (As per Trade License)			
Country of Incorporation		Incorporation Date	
Business address			
Telephone Number			
Email & Website			
Business Reg Number		Tax Reg Number	
Nature of Business			
Source of Funds			
Kindly provide the names of the direct and indirect subsidiaries, If any?			
Business Capital			

Company Beneficiaries/Share Holders Details

(Kindly provide details regarding Shareholder holding equal or more than 25% of the interest in the Company)

Name	Percentage of holding	Passport No	D.O. B	Nationality

BANK DETAILS

Bank Name and Address	
Beneficiary Account Name and Number:	
SWIFT Code:	

Source of Metal and AML/CFT Questionnaire

QUESTIONS

Does your company have AML/CFT policies and due diligence procedures, Targeted Financial Sanction procedures according to UAE & International laws, rules and FATF standards? https://www.moec.gov.ae/en/aml https://www.uaieic.gov.ae/en-us/un-page?p=7	<input type="checkbox"/> yes <input type="checkbox"/> No <input type="checkbox"/> In process to Implement
Type of Metal(s) the company deals with?	
Country(ies) of origin of Metal delivered to us?	
Expected Quantity to be metal traded in a year with us?	
Delivery Channel (i.e self, courier)	
Do you know about the OECD guidelines to establish a responsible supply chain of gold from conflict-affected and high-risk areas policy? www.shorturl.at/ajJOP	<input type="checkbox"/> yes <input type="checkbox"/> No <input type="checkbox"/> In process to Implement
Do you know about the UAE Supplemental Guidance for Dealers in Precious Metals and Stones www.shorturl.at/IPQ13	<input type="checkbox"/> yes <input type="checkbox"/> No <input type="checkbox"/> In process to Implement

DECLARATION

- I/We undersigned hereby undertake and warranty that the source of fund /metal acquired to be delivered to Salor gold trading LLC are from legitimate Sources. I/We do hereby undertake that the funds do not originate from any sanctioned country/entity/Person as per OFAC, UN, UAE, and any other relevant sanction programs or in violation of Human rights.
- In my knowledge, I undersigned hereby guarantee that these metals to be delivered to **Salor gold trading LLC** have not resulted from zones of conflict, nor from mining sources involved in the child labor and forced Labor but have been sourced with the appropriate mining sources.
- I/We oppose those activities which cause, support, or benefit unlawful armed conflict or contribute to serious human rights abuses or breaches of international humanitarian law.
- I/We am/are aware of and will abide by the UAE -Federal Decree Law No. 20 of 2018 on Anti-Money Laundering and Combating the Financing of Terrorism and Illegal Organizations (the “AML Law”) or under the legal and regulatory framework in force in the United Arab Emirates.
- I/We acknowledge that I/we are responsible for our own tax affairs. I/We have not committed or been convicted of any tax crimes.
- I, the undersigned, agree that when requested, will submit all the documents regarding the origin of the metal to **Salor Gold Trading L.L.C.** In case of any future changes with the origin of the precious metals, I agree to advise the company beforehand and to provide supporting documents prior to sending any delivery/shipment. Upon having my account, I will be responsible for updating my files and submitting any documents that are necessary for the compliance team.
- I/We hereby declare and warrant that the information given to (**Salor Gold Trading L.L.C**) Compliance Department in this form submitted is complete, true and accurate and that we have not willfully withheld any material fact /information.
- I/We shall give **Salor Gold Trading L.L.C** written notice of any change in our beneficiary and business particulars.
- I/We also declare that we are hereby consent the representative of **Salor Gold Trading L.L.C** to contact me to obtain further information immediately from me for the purpose of compliance with the Notices and Guidelines on Prevention of Money Laundering and Countering the Financing of Terrorism, As it is part of the policies and procedures implemented by the

compliance department and in line with the *OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict Afflicted and High-Risk Areas* and with all other relevant regulations for responsible supply chain and the UAE Federal Law on ML/CFT.

- If any information is found to be false or misleading, or if the need to obtain further information is not met, **Salor Gold Trading L.L.C** may reject any of my application and/or instructions including but not limited to, any transactional-related activities.
- I am aware and abide that, all the Legal relationship between the company and **Salor Gold Trading LLC** are governed by the UAE law. The sole place of jurisdiction for all legal proceedings is United Arab Emirates and **Salor Gold Trading LLC** Holds the right to take legal action against the company before any other competent court.
- I undersigned, the authorized signatory and/or beneficial owner, confirm to the best of my knowledge that the information provided in this application form, the supplements and documents attached, is complete, true, and correct. I confirm that I have the authority to make this application, to declare as specified above and sign this form for and on behalf of the Company.

Person Name:

Company Name:

Signature and Stamp:

Date: